

RE • STORING NORMAL

**Let's Make R & R Mean Rest and
Relaxation NOT Rules and
Regulations...Let's Work to Understand it
All!**

Presented by:

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Introduction of NDE Employees and Their Role

- Steve Bauers – Assistant Administrator, Office of Budget and Grants Management
- Tom Goeschel – Director of Grants Compliance, Office of Budget & Grants Management
- Beth Wooster – Administrator, Office of ESEA Federal Programs
- Dottie Heusman – Team Reviewer, Office of ESEA Federal Programs

ESSER- **(Updates as we know them)**

- **Time & Effort**
- **Davis Bacon**
- **Programmatic & Fiscal Monitoring**
- **Allowable Uses Reimbursement Requests**
- **Plan for Safe Return & Plan for Use of Funds**

ESSA

- **Grant is OPEN**
- **Time & Efforts**
- **Reimbursement Requests**
- **Matching of Documents**
- **Indirect Costs**

ESSER - Hot Topics

- May 2021 FAQ will soon be updated
- USED has noted that they are NOT asking Auditors to review Maintenance of Equity during THIS cycle
- Per USED the Nonpublic piece is critical for the auditors to review
- NDE seems to learn something every week about “new” items that USED is allowing
- Retention Pay for staff is now allowable
- Inventory of Capital Assets purchased with Federal Dollars - Anything over \$5,000
- Operational Equipment - Inventory
- Davis Bacon...coming up!!!

ESSER - Time & Efforts

- Time & Efforts not needed
- MUST have bookkeeping records or time distribution that reflects the right code for reimbursement:
 - CARES Act ESSER I: 6996
 - CRRSA Act ESSER II: 6997
 - ARP Act ESSER III: 6998
- Code staff in NSSRS to an "F" code

Davis Bacon

Publication of Bids

Bid Packages – Wage Determination Info

Keep documentation of proposals received, contract awarded (which MUST include D-B) and rationale, if applicable, if the lowest bid wasn't taken

Posters & Wage Determination Information Posted

Weekly Time Sheets (Form WH347)

Consult your legal counsel



ESSER - Monitoring

- Programmatic
 - Reviewers from the Federal Programs Team
 - Narrative Response
 - Follow up Review to clarify on Narrative Response
- Fiscal
 - Reviewers from the Office of Budget and Grants Management
 - Desk Audit
 - Letter with Summary, Recommended Actions (if needed) and Corrective Actions (if needed)

ESSER – Allowable Uses

- CARES Act ESSER I was for immediate use – All allocations must be obligated by 9-30-2022
 - Currently 5.21% left for reimbursement at the State
- CRRSA Act ESSER II & ARP Act ESSER III had additional allowable uses
- If crossing between the ESSER grants for funding be sure that the allowable uses are the same for the grants and included on both applications
- Know what you are purchasing to assure it is allowable
- Don't just throw everything into the grant code then see what is and isn't allowable

ESSER – Reimbursement Requests

- Licenses/Subscriptions can't be reimbursed outside of the grant period
- Items for reimbursement must be included in the grant application
- Operational Equipment Items must be listed
- Capital Assets must be pre-approved
- Timeline for Reimbursements is about 30 days if appropriate paperwork and RR is completed correctly

ESSER – Plans: Safe Return & Plan for Use of Funds

- These are two DIFFERENT plans
- Plan for Safe Return
 - MUST be reviewed every six months minimum
 - Document, Document, Document
- Plan for Use of Funds
 - Must request input only if changing the way the funds are being spent
 - Document, Document, Document

Top 5 ESSER Points to Remember

1. ESSER I allocations must be obligated by 9-30-2022.
2. Plan for Safe Return MUST be reviewed at minimum once every 6 months until 9-30-2023. Document this information. Make sure the plans are on the website and date stamp them. If changes occur with the Use of Funds, be sure to get input from stakeholders.
3. Programmatic and Fiscal Monitoring have to be done and were started during the 2021-2022 school year. Will continue this year and into the future.
4. Grant coding is important: 6996-ESSER I, 6997-ESSER II, 6998-ESSER III
5. Davis Bacon Rules DO Matter. Take a handout for specific information.



Questions on ESSER

ESSA - 2022-2023 Grant Information

- **Now open in GMS**
- **Due September 15 @ 11:59 p.m.**
- **Session on ESSA Application today at 1:00 - Silver 5**

ESSA - Time and Effort

- Required documentation for Monitoring for all years (Programmatic and Fiscal)
- All must match: Title Grant (Staff and/or Budget Page, NSSRS, Bookkeeping Records)
- Salaries and Benefits must be equitable
- Bookkeeping records should match actual grant amount awarded

ESSA - Reimbursement Requests

- Done through the Grants Management System (GMS)
- Need correct documentation
- Complete the RR as often as you need to
- Recommend doing monthly or quarterly RR's
- Takes about 60 days for reimbursement as long as all documentation is completed correctly
- Office of Budget & Grants Management are the processors of the RR's

ESSA - Indirect Costs (IDC)

- **Purpose - Cover expenditures you can't directly relate to the grant (payroll, legal, H.R., rent, utilities, etc.)**
- **Must ask for Indirect Costs for ALL State and Federal Grants**
- **New tab on the UGP for selecting "yes" or "no" to use IDC**
- **Each individual grant's Budget Page has the amount that is allowable per grant**
- **Limitations on Title III & IV**

Top 5 ESSA Points to Remember

1. Schoolwide Title I Building-All paras MUST meet the requirements to work with students **PRIOR to working with students!** In Targeted Title I Building-Any para funded with Title I funds MUST also meet these requirements.
2. Coding of Staff in Federal Funded Positions-All of these MUST match: NSSRS, GMS, Bookkeeping Records, and Time and Efforts. All should have the same percentage.
3. Waivers for carryover: NDE is pursuing this request with the USED. When we hear the answer, we will let you know.
4. We are updating the Monitoring Guide Checklist based on the requirements the USED gave us during our monitoring in March of 2022.
5. Unified Grant Profile must be updated before doing anything in the GMS each year. Current contact information is vital so we can reach out to the right district personnel.



**ESSA
Question
and
Answer
Time**

Federal Programs Support Room

- **Younes North Conference Center**
- **Platinum Room 4**
- **All day today**
- **Tomorrow until 4:30**
- **Support for ESSA & ESSER**



RE • STORING NORMAL

Thank you for attending

Rest & Relax!

We hope your school year starts out great!

Contact us for support as needed.

