



ARP ESSER III

Presented to District Personnel

July 20, 21, & 26, 2021

NDE Federal Programs Team

Housekeeping

- We'd love to see your faces so feel free to have your video on
- All participants were muted upon entering
- All participants will be muted until the end of the presentation
- Please type questions into the chat or wait until the end
- At the end, we will unmute the participants and answer questions
- Materials will be posted on the website

Topics for Today's Session

- Reviewers
- Parts of the Grant
- What If Scenarios
- General Information & Resources
- Questions and Answers

Who will be reviewing your grant & first person to contact with questions?

- ESU #1, 3, 6, and 11 – Dottie Heusman
- ESU #2 – Ken Howard
- ESU #4 – Allyson Olson DenBeste
- ESU #5 – Benjamin Zink
- ESU #7 and 10 – Pat Frost
- ESU #8, 9, and 13 – Jim Kent
- ESU #15 & 17 – Anne Hubbell
- ESU #16 – Sue Henry
- ESU #18 and 19 – Kirk Russell

***ALL Final Approvals
will be completed
by Beth Wooster.***

****Rhonda Wredt-
Pre-Approval Forms***

Step 1: Create an Amendment

Select Fiscal Year: 2021

Created								
Formula Grant								
Application Name	Revision	Status	Date	Actions				
Early Childhood State Continuation Grant	Amendment 1	Not Submitted View GAN		Open	Amend	Payments	Review Summary	Delete Application
Perkins Consolidated-6720	Original Application	Not Submitted		Open	Amend	Payments	Review Summary	Delete Application
SPED IDEA Consolidated-6400	Amendment 1	Not Submitted View GAN		Open	Amend	Payments	Review Summary	Delete Application
ESSA Consolidated - 6201	Original Application	Final Approved View GAN	1/12/2021	Open	Amend	Payments	Review Summary	Delete Application
CRRSA ESSER II Fund Grant Application - 6997	Original Application	Final Approved View GAN	6/12/2021	Open	Amend	Payments	Review Summary	Delete Application
American Rescue Plan - ARP - ESSER III Grant Application - 6998	Original Application	Final Approved View GAN	6/15/2021	Open	Amend	Payments	Review Summary	Delete Application

- This portion of the application is considered an amendment so the district MUST create an amendment.

After you click the Amend page:

- The system will take you right to the full application.
- Step 1: Go to Page Lock Control and Unlock the Application
- Step 2: Click on the Amendment Description Page and enter this text:

Amendment is to complete the full application.

Application: 2020-2021 ARP Act ESSER III 6998 - 00-
Cycle: Amendment 1

[Printer-Friendly](#)
[Click to Return to GMS Access/Select Page](#)
[Click to Return to Menu List / Sign Out](#)

Overview	Allocations/Contact Information	Program Information	Staff/Equipment	Budget	Assurances/GEPA	Amendment Description	Submit	Application History	Page Lock Control	Application Print
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American Rescue Plan - Overview

Program:	American Rescue Plan Elementary and Secondary School Emergency Relief Fund ((ARP ESSER)
Funding Period:	The funds for this grant MUST be obligated by September 30, 2024.
Funding:	CFDA - 84.425U
Project Code:	788
Purpose:	Under the American Rescue Plan (ARP) Act of 2021, the United States Department of Education (USED) will make awards to State educational agencies (SEAs) to help schools safely reopen, sustain healthy operations, and address significant academic, social, emotional, and mental health needs of their students as the country continues to recover from the COVID-19 pandemic. Section 2001 of the ARP requires the USED to allocate \$121,974,800,000 in awards to SEAs through the Elementary and Secondary Emergency Relief (ESSER) Fund. Section 2001(c) of the ARP requires the USED to determine ARP ESSER Fund allocations based on the proportion that each State received under Title I, Part A of the Elementary and Secondary Education Act (ESEA) in the most recent fiscal year.
Legislation:	Public Law 117-2

2nd

1st

[Nebraska's Framework Document](#)
[ESSER III Master Instructions](#)

Allocations & Contact Information - Update the Contact Information as needed. Please check for accuracy.

Overview	Allocations/Contact Information	Program Information	Staff/Equipment	Budget	Assurances/GEPA	Amendment Description	Submit	Application History	Page Lock Control	Application Print
Allocations					Contact Information					
American Rescue Plan (ARP) ESSER III Grant - Contact Information										
<p>By signing the Assurances page the applicant confirms that the System for Award Management Managed Identifier (SAMMI) for the receiving entity is as follows:</p> <p style="text-align: center;">[REDACTED]</p> <p style="text-align: center;">and/or (one or both fields will contain a value)</p> <p>By signing the Assurances page the applicant confirms that the Dun and Bradstreet Data Universal Number System Number (DUNS Number) for the receiving entity is as follows:</p> <p style="text-align: center;">045147899</p>										
Authorized Representative: *Required fields.										
First Name*		John								
Last Name*		Doe								
Phone*		402 471 2968			Extension					
E-Mail*		john.doe@nebraskapublicschools.org								
Fax (optional)		[REDACTED]								
<input type="checkbox"/> Check this box if the American Rescue Plan (ARP) ESSER III Grant Contact is someone other than the Authorized Representative listed above and complete the information.										
Financial Contact:										
First Name*		Jane								
Last Name*		Doe								
Phone*		123 456 7890			Extension					
E-Mail*		jane.doe@nps.org								
Fax (optional)		[REDACTED]								
<input type="button" value="Save Page"/>										

Now you are ready to complete your amendment. So...what's new?

- Program Information
 - Allowable Uses 1-17
 - Intent & Purpose Questions
- Staff/Equipment
 - Capital Asset Pre-Approval Form & Submission
- Budget
- GEPA
 - Sample can be found on the Federal Programs Tab at NDE
 - **Remember it is ARP ESSER III not CARES or CRRSA!!!**

Program Information Page – Allowable Uses

- There are 16 allowable uses for this grant
- #4 Providing principals and other school leaders with the resources necessary to address the needs of their individual schools - IS NO LONGER AN OPTION WITH ESSER III
- NEW ALLOWABLE USE: #17 Development of strategies and implementation of public health protocols that align with Centers for Disease Control and Prevention guidance on reopening and operating school facilities to maintain the health and safety of students, educators, and other staff. ARPA Section 2001(e)(2)(Q).

Program Information Allowable Uses Page

- Describe what you are spending the funds on
- Be specific
- If you check a box, you **MUST** complete the text box.
 - If you want to uncheck a box, delete the text box wording **FIRST** and then uncheck the box.
- **Make sure that the allowable use #'s selected on this page are also selected on the budget page and have dollars allocated**
 - An error message will come up on the budget page if they don't match.

Program Information-Intent & Purpose Page

- *Questions include:*

#1. Stakeholder Engagement in Plan for Use of Funds

#2. Plan for Safe Return

- A. Who was consulted? How did the consultation occur and what were the outcomes?
- B. System in place for revisions
- C. District URL where the Plan for Safe Return can be found

Program Information-Intent & Purpose Page – continued:

#3. 20% allocation for learning loss

- A. How will the 20% be used to address learning loss?
- B. Level of Evidence
- C. What evidence do you have to support interventions or strategies?
- D. Hyperlink to the Evidence
- E. How the resources are supporting students that missed instructional time?
- F. How the resources are providing support for students that have been historically marginalized? (ie: students of color, students who are economically disadvantaged, English learners, and students with disabilities)

What's this 20% Learning Loss all about?

- Must use 20% of total allocation for Allowable Use #13 (Addressing learning loss among all students in all subgroups, etc.)
- Ideas:
 - Instructional Coach
 - Intervention Specialist – Math and/or ELA
 - High Quality Instructional Curriculum Materials
 - Social Emotional Learning Support – Additional Guidance Counselor, Curriculum
 - Additional Teachers – Class Size Reduction, Special Education, English Learners
 - Intervention Materials
 - Afterschool or Before School Tutoring
 - Summer School
 - Additional Student Instructional Days – Extended Contract Days for Staff to make this happen
 - Technology – Allowable if district shows a connection to evidence-based initiative to address learning loss

Program Information-Intent & Purpose Page – continued:

#4. Anticipated Outcomes – Theory of Action

#5. What student data did the district use to determine the priorities?

- **YES, there are more questions than previous ESSER grants, but there are more requirements from the federal government in regard to this funding!**

Staff/Equipment Tab

* Instructional Staff & Noninstructional Staff Tabs

* Fill in the chart

* Can upload a spreadsheet but still need a total displayed on the page

[Click to Return to Menu List / Sign Out](#)

Overview	Allocations/Contact Information	Program Information	Staff/Equipment	Budget	Assurances/GEPA	Amendment Description	Submit	Application History	Page Lock Control	Application Print
Instructional Staff			Noninstructional Staff			Operational Equipment		Capital Assets		
American Rescue Plan (ARP) ESSER III Grant - Instructional Staff										
Full Time Equivalency (FTE) is the indication of the time an individual spends in their position assignment as it relates to the total time in the work week defined for that position by each										
List Name(s) of Instructional Staff Paid with American Rescue Plan (ARP) ESSER III Grant Funds	Name of School	Total Contracted Salary without benefits and/or extra curricular	FTE of American Rescue Plan (ARP) ESSER III Grant	Amount of Salary Paid with American Rescue Plan (ARP) ESSER III Grant Funds without benefits and/or extra curricular						
<input type="text" value="See attached sheet"/>	<input type="text" value="Elementary"/>	<input type="text" value="525,000"/>	<input type="text" value="0.10"/>	<input type="text" value="52,500"/>						
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>						
<input type="button" value="Calculate Totals"/>										
Calculated Amount Paid <input type="text" value="52,500"/>										
<input type="button" value="Add Additional Entries"/>										
<input type="checkbox"/> School District XYZ Staff Summary for ESSER III-20210716080018-cworrell.xlsx										
The file name should not include special characters (\$, #, &, '.'). Attempting to upload a file that does not comply with these restrictions will result in errors and loss of unsaved data.										
<input type="button" value="Choose File"/> No file chosen						<input type="button" value="Upload"/>				

Operational Equipment & Capital Assets

- What's the difference?
 - \$0-\$4,999 per ITEM cost = Operational Equipment
 - \$5,000+ per item or project = Capital Assets
- Operational Equipment – Examples
 - Computers, calculators, cameras, Electrostatic Sprayers, etc.
(often have a serial number)
- Pre-Approval Requests for Capital Assets
 - Forms at the top of this page
 - Send to NDE-Rhonda Wredt
 - Internal Team reviews and approves, disapproves, or returns for additional information
 - Once approved, attach it to the page at the bottom (see next slide)

Capital Assets

[Click to Return to Menu List / Sign Out](#)

Overview	Allocations/Contact Information	Program Information	Staff/Equipment	Budget	Assurances/GEPA	Amendment Description	Submit	Application History	Page Lock Control	Application Print
Instructional Staff		Noninstructional Staff			Operational Equipment			Capital Assets		

American Rescue Plan (ARP) ESSER III Grant - Capital Assets Budgeted in Object Code 700

Prior written approval is needed for any capital assets purchases. There are two forms available for this application:

1. [Non Construction Project Form](#)
2. [Construction Project Form](#)

Links to the forms

A PDF of the pre-approval form(s) completed by the district and approved by a Federal Programs Administrator MUST be uploaded to this page.

This page is not linked to the Budget Summary and must be entered on the Budget Detail page in the 700 Object Code column.

Itemize proposed Capital Assets that will be funded with this grant. Select Add Additional Entries if you need to enter more items.

Type very specific information in this box about the project.

Location
Unit Cost
Quantity
Calculated Total Cost:

[Add Additional Entries](#)
[Calculate Totals](#) [Save Page](#)

[Request Approval for ESSER Funded Projects-20210719050519-cworrell.pdf](#)

File name cannot include special characters (e.g.: \$, #, &, '.'), and cannot exceed 10 MB. Uploading files that do not comply with these restrictions may result in error and loss of data.

No file chosen

Locations/Contact Information	Program Information	Staff/Equipment	Budget	Assurances/GEPA	Amendment Description	Submit	Application History
	Plan for Safe Return	Instructional Materials	Use of Funds	Common Assurances	Standard Agreement	ARP ESSER III Assurances	

ESSER III Grant Funds - GEPA Information

Federal funding the LEA must comply with the requirements of Section 427 of GEPA (Department of Education's General Education Provisions Act) (20 U.S.C. 1228a). The description must include information about teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede equal access to, or participation in, the program.

The school district has a GEPA Statement that covers all of the information listed above.

See District's GEPA Statement below.

For more information about a GEPA Statement, use this link: [Department of Education's General Education Provisions Act \(GEPA\)](#)

Upload a copy of the District's GEPA Statement below.

[SAMPLE GEPA-20210714092755-cworrell.docx](#)

File name cannot include special characters (e.g.: \$, #, &, '.'), and cannot exceed 10 MB. Uploading files that do not comply with these restrictions may result in error and loss of data.

Choose File No file chosen

GEPA-MUST state what you are using funds for, ARP ESSER III and statement about non-discrimination.

- NDE Federal Program website has examples for you!

What if...

- Our District doesn't know how we are going to use our money?
 - Do a place holder with funds and then amend the application as needed. Remember to get public comment.
- Our District changes our mind after our application is approved in regard to how we are spending the allocation?
 - Do an amendment. Remember to get public comment.
- Our District can't get the equipment we said we were going to purchase?
 - Do an amendment. Remember to get public comment if you changed what you are spending the dollars on.

What if...

- Our district doesn't know if something is allowable or not?
 - Review the Allowable Uses document
 - Reach out to your Federal Programs Team Contact
- Our District can't get the application completed in time?
 - You **HAVE** to get this done by September 15, 2021 at 11:59 p.m.

ARP – HCY Information

- ARP HCY 1 Funds (Homeless Children & Youth)
 - Competitive Grant
 - Most likely will be released AFTER ARP HCY 2
- ARP – HCY 2 Funds
 - Working on what that application will look like
 - Good chance it will be part of the ESSA Consolidated Grant Application
 - Formula Grant not Competitive
 - Possibility of forming a consortium to use the dollars

BUDGET CODES

	CARES ESSER I	CRRSA ESSER II	ARP ESSER III
DISBURSEMENTS	6996	6997	6998
REVENUE	4996	4997	4998
PERIOD OF OBLIGATION	By September 30, 2022	By September 30, 2023	By September 30, 2024

Resources for Districts

- Phone a friend
- Select 50/50
- Ask the Audience – Just Kidding!!

- Reach out to your Federal Programs Consultant
- Go to the NDE Website – Main Page and Federal Programs Page
- Reach out to various NDE employees – See next slide

Contacts at NDE (email = first.last@Nebraska.gov)

- Allocations & Assurances – Jen Utemark
- Capital Assets – Tom Goeschel
- Capital Assets Approval – Rhonda Wredt
- Innovative Use of Funds – Lane Carr and Shirley Vargas
- Instructional Materials – Cory Epler
- Reimbursement – Steve Bauers
- Students with Disabilities – Amy Rhone
- Use of Funds – Bryce Wilson

ESEA Federal Programs Team Contacts

Staff First Name	Staff Last Name	Wk Mobile #	email address
Allyson	Olson	402-405-5212	allyson.olson@nebraska.gov
Anne	Hubbell	402-405-7017	anne.hubbell@nebraska.gov
Benjamin	Zink	402-416-9876	benjamin.zink@nebraska.gov
Beth	Wooster	402-310-1390	beth.wooster@nebraska.gov
Dottie	Heusman	402-219-4802	dottie.heusman@nebraska.gov
Jim	Kent	402-405-6456	jim.kent@nebraska.gov
Ken	Howard	402-219-3303	Ken.howard@nebraska.gov
Kirk	Russell	402-405-4616	kirk.russell@nebraska.gov
Pat	Frost	402-219-1671	pat.frost@nebraska.gov
Rhonda	Wredt	531-510-8773	rhonda.wredt@nebraska.gov
Sue	Henry	402-219-1788	sue.henry@nebraska.gov

Federal Programs Tab on NDE Website

- <https://www.education.ne.gov/federalprograms/#1623275593815-5151159c-e75b>

ARP ESSER III General Information

ARP ESSER III Zoom Training Dates via Zoom:

July 20th @ 2 pm CDT

July 21st @ 10 am CDT

July 26 @ 10 am CDT

More Information to come!



[SAMPLE GEPA STATEMENT FOR ARP ESSER III](#) ✓

[ESSER FISCAL MONITORING CHECKLIST](#)

[FOR ADDITIONAL ESSER RESOURCES AND INFORMATION](#)

ESSER Fiscal Monitoring Checklist

MATTHEW L. BLOMSTEDT, PH.D., COMMISSIONER

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FAX 402.471.0117

P.O. Box 94987
Lincoln, NE 68509-4987

education.ne.gov



July 2021

Created by NDE's Federal Program
and Fiscal Monitoring Teams

ESSER Funds Checklist for Fiscal Monitoring

Directions: When you are monitored by the NDE Grants Management Fiscal Monitoring Team the following is a list of items that will be reviewed to support the use of the funds and assure all practices have been followed. Other items may be requested as needed.

1. Return To Learn Plan

- A. Documentation of Stakeholder Input
- B. Link/Web-Site verification
 - 1. Original 30 days
 - 2. Successive 6-month dates

2. Use of Funds Plan

- A. Documentation describing/outlining process for receiving stakeholder input
- B. Documentation of input received

3. Allowable Uses for ESSER I, II & III:

- A. Item/Items purchased were for an allowable use per Federal guidance. [Allowable Uses](#)

4. Procurement for Capital Asset Purchase

- A. Detailed information about bidding process and bids collected
- B. Documents supporting which bid was chosen, and if not the lowest bid, must document why that bid was not chosen.
- C. Contract with the Company(ies)
- D. Progress billings that show break out and what funding sources were used to pay for each portion of the bill
- E. Documentation that federal building requirements were met (i.e. Davis Bacon Act)
- F. Progress reports signed by all parties
- G. Final progress report and inspection signed by all parties

To lead and support the preparation of all Nebraskans for learning, earning, and living.

5. Board Policies and District Procedures are in place in regards to the following:

- A. Cash/Financial Management 2 CFR 200.302 [Electronic Code of Federal Regulations \(eCFR\)](#)
- B. Equipment 2 CFR 200.313 (see below for further guidance on equipment) [Electronic Code of Federal Regulations \(eCFR\)](#)
- C. Procurement 2 CFR 200.317 thru 2 CFR 200.326 (see below for further guidance on procurement) [Electronic Code of Federal Regulations \(eCFR\)](#)
- D. Record Retention 2 CFR 200.334 (5 years per US Department of Education) [Electronic Code of Federal Regulations \(eCFR\)](#)
- E. Compensation Salary and Benefits 2 CFR 200.430 and 2 CFR 200.431 (if charging payroll, salary



Upcoming Events

- NDE Administrators Day is Wednesday, July 28
 - Entire strand of topics about ESSER III
- Ruby Room for Support on ESSER Grant (Wednesday AND Thursday)
- GMS and Time & Effort Sessions

Thank you from the NDE Federal Programs Team!

This has been an added responsibility for all Districts and NDE personnel.

Thanks for your patience with us and your support.

From ESSER I to ESSER III things changed frequently, but it's more stable now and going well. We have more answers and want to help!

Questions & Answers

- We will publish one of these recorded sessions to our NDE Federal Programs Tab
- We will post another FAQ with Questions and Answers from these sessions
- Refer to the Federal Programs Tab and the NDE Homepage ESSER tab for more Resources
 - ESSER Funds Checklist for Fiscal Monitoring
- Use the Directions document on the grant for support
- **What questions can we answer right now?**